

# ASCO COI Glossary

| ASCO Conflict of Interest Disclosure Management System | A centralized place for volunteers, members, and participants in ASCO activities to manage their disclosures of financial relationships with for-profit health care companies as well as other relationships that may impact their ASCO activities.   |
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| Open Payments  | US government database of industry reported payments and other transfers of value made to US-licensed physicians.   |
| Healthcare Company                                     | A for-profit entity that develops, produces, markets, or distributes drugs, devices, services, or therapies used to diagnose, treat, monitor, manage, and alleviate health conditions.  |
| General Disclosure                                     | ASCO requests disclosures of a single, comprehensive disclosure of information about an individual's financial relationships with Companies, regardless of their connection to a specific ASCO activity.  |
| Clinical Practice Guideline                            | A systematically developed statement to assist practitioner and patient decisions about appropriate healthcare for specific clinical circumstances.   |
| Immediate Family Member                                | Means spouse, dependent child, or other person with whom a covered individual shares income or assets and believes disclosure is relevant.  |
| Management   | Means any of a range of actions intended to respond to an actual, potential, or perceived conflict of interest. In some cases, disclosure of relevant financial relationships is sufficient for management. Other examples of conflict of interest management include recusal from decision making, peer review, use of a discussant, and audit of CME sessions. As suggested in the CMSS Code, ASCO will employ conflict of interest management mechanisms that are appropriate for the activity and type of relationship under consideration. |

### **Original Research**

Means a systematic investigation designed for the purpose of expanding knowledge or understanding, including the analysis of data. For clarity, a clinical trial is original research under this definition, and a summary or review of prior knowledge is not original research under this definition.

## **Significant Ownership Interest**

Means shares of a publicly traded company greater than \$50,000 in value or an equity interest in a privately held company greater than 5% at the time of submission. This does not include interests invested in diversified funds with holdings that cannot be controlled by the disclosing individual. This definition may be updated by ASCO from time to time in a published "FAQ" or other communication.

### Speakers' Bureau

Means a compensated role as a presenter for which any of the following criteria are met: (a) a Company has a contractual right to dictate or control the content of the presentation or talk; (b) a Company creates the slides or presentation material and has final approval of the content and edits; or (c) the presenter is expected to act as a Company's agent or spokesperson for the primary purpose of disseminating company or product information. ASCO recognizes that some activities called "speakers' bureaus" may not meet these criteria and, conversely, that activities may meet these criteria and not be termed "speakers' bureaus." ASCO will rely on the judgment and integrity of disclosing individuals to determine whether an activity constitutes a speakers' bureau under this Policy. This definition of "speakers' bureau" does not extend to employees of a Company who make presentations as part of their employment.

## **Key Employee**

An employee of ASCO who (1) receives more than \$150,000 during the calendar year in compensation from the ASCO Entities, (2) has responsibilities, powers, and influence over ASCO as a whole, manages a discrete segment or activity of ASCO that represents 10% or more of the activities, assets, income, or expenses of ASCO, or has or shares authority to control or determine 10% or more of ASCO's capital expenditures, operating budget, or compensation to employees, and (3) is one of the top 20 employees with the highest compensation from the ASCO entities during the calendar year.