



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

May 14, 2024

Ms. Karen Donahue
Transamerica Capital, Inc.
4333 Edgewood Rd NE
Cedar Rapids IA 52499

Reference: **FX2024-0513-0098/E**
Link Reference: FX2024-0430-0345

Org Id: 8217

1. TSIA Enhancements - Client Event Invite (#3419185)
Rule: FIN 2210
1 Page

Total Fee: \$600

Dear Ms. Donahue,

This material generally appears consistent with applicable standards. However, we note the following:

We have reviewed this material as a template based on the content as presented. Please be advised that, in the event of any material changes, it is your responsibility to ensure that this material is filed, pursuant to FINRA Rule 2210(c)(3). Further, we remind your firm of its responsibility to comply with the requirements of FINRA Rule 2210(d)(3).

Reviewed by,

William W. Brill
Principal Analyst

jws

This year's Advertising Regulation Conference will be held on September 26-27 in Washington, D.C. For more information and to register, please view our site at: <https://www.finra.org/events-training/conferences-events/2024-advertising-regulation-conference>



Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*