



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

April 16, 2024

Ms. Karen Donahue
Transamerica Capital, Inc.
4333 Edgewood Rd NE
Cedar Rapids IA 52499

Reference: **FX2024-0415-0201/B**
Link Reference: FX2023-0920-0081

Org Id: 8217

1. 2957954R1_TSIA Consumer Presentation (#ADMASTER-3412634)
Rule: FIN 2210
48 Pages

Total Fee: \$2500

Dear Ms. Donahue,

This material generally appears consistent with applicable standards. However, we note the following:

Notwithstanding the instructions for use in the speaker's notes with slide 29, based on the content as presented, this material has been reviewed as if being used in its entirety. Please be advised that, in the event of any material changes, it is your responsibility to ensure that this material is filed, pursuant to FINRA Rule 2210(c)(3), as applicable.

Please note that our opinion applies only to the material submitted. We offer no comment on the presentation as actually delivered (i.e., the oral presentation). It is your responsibility to ensure that whenever this presentation is given it is fair, balanced, and consistent with all applicable advertising standards.

Further, we note that this review does not include and provides no opinion on content which may be available via the QR code and we remind your firm of its responsibility to file for review with this Department individual items as necessary pursuant to FINRA Rule 2210(c).

Reviewed by,

William W. Brill
Principal Analyst

jws



This year's Advertising Regulation Conference will be held on September 26-27 in Washington, D.C. For more information and to register, please view our site at: <https://www.finra.org/events-training/conferences-events/2024-advertising-regulation-conference>

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NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*