



## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

September 23, 2022

Ms. Karen Donahue  
Transamerica Capital, Inc.  
4333 Edgewood Rd NE  
Cedar Rapids IA 52499

Reference: **FX2022-0922-0111/E**  
Link Reference: FX2022-0915-0002

Org Id: 8217

1. 2411524\_0922\_TSIA Day 2 Consumer Targeted Email Version for LPL Email (#2411524)  
Rules: FIN 2210, FIN 2211  
1 Page
- Total Fee: \$600

Dear Ms. Donahue,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Jessica A. Polhamus  
Principal Analyst

jws

***This year's Advertising Regulation Conference will be held on October 20-21 in Washington, D.C. For more information and to register, please view our online site at: <https://www.finra.org/22adreg>***

*Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.*



***NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*