## FINCA

## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

May 16, 2024

Ms. Karen Donahue Transamerica Capital, Inc. 4333 Edgewood Rd NE Cedar Rapids IA 52499

Reference: **FX2024-0515-0085/B** Link Reference: FR2023-0823-0072 Org Id: 8217

 2957941R1\_TSIA Participation Strategy Consumer OFT Email (#ADMASTER-3412676) Rule: FIN 2210
1 Page Total Fee: \$600

Dear Ms. Donahue,

The communication submitted appears consistent with applicable standards.

Reviewed by,

William W. Brill Principal Analyst

jws

This year's Advertising Regulation Conference will be held on September 26-27 in Washington, D.C. For more information and to register, please view our site at: <u>https://www.finra.org/events-training/conferences-events/2024-advertising-regulation-conference</u>

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

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**NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.