

Entity self-certification for tax reporting purposes

The Australian Government requires all Australian banks to send an annual report on all accounts to the Australian Tax Office (ATO) where a tax obligation to a foreign government may exist.

Please complete this form if you are a legal entity, outlining your tax residency linked to Australia AND/OR a country outside of Australia. Please also complete section 4, if the entity's Controlling Persons may have tax residency linked to a country other than Australia.

Example: You are a joint owner of an Australian company. You live in Australia but have United States (US) citizenship, which classifies you as tax resident in both countries. Any bank which holds an account for the Australian company must report information to the ATO and IRS as you are a 'controlling person' with foreign tax obligations.

This example is provided as an example only and is not a substitute for professional advice and/or commercial judgement having regard to individual circumstances.

Each country has its own rules for defining tax residence. Bank Australia staff are not authorised to give you tax advice. Generally, (with the notable exception of the USA and a small number of other countries) your tax residency is based on the country where you live and/or work. Working or travelling overseas may cause you to be a tax resident elsewhere or in more than one country at one time.

If you have any questions about your tax residency, including which entity classification your entity falls under within the relevant legislation, please contact your tax advisor or seek professional advice. Bank Australia is not in any way able to provide you with any advice on your tax status.

1. Entity details

Full name of entity

Customer or account number

ACN or other registration number

Registered address

State

Postcode

Mailing address

State

Postcode

Note: PO Box numbers cannot be stated for either address

2. Entity type

Financial Institution

Public Listed Company, Majority Owned Subsidiary of a Listed Company, Deceased Estate, Government Entity, International Organisation

Active non-financial entity (NFE)*

Passive non-financial entity

Australian Domiciled and Registered Charity/Not for Profit

Other, please specify below

3. Country of tax residency

Please detail all countries of tax residence and associated tax identification numbers (TIN). The Australian Government requires us to request a TIN, also known as a tax file number (TFN) in Australia. If you cannot provide the number, we must document a reason:

Reason A: The country where the account holder is tax resident does not issue TINs to its residents.

Reason B: The account holder is otherwise unable to obtain a TIN or equivalent number. Please explain in writing why you are unable to obtain a TIN if you have selected this reason.

Reason C: The laws of my foreign tax jurisdiction do not require me to provide a TIN.

Is the legal entity a tax resident of a country other than Australia?

Yes

No (if no, go to section 4)

Please provide a TIN or equivalent for each country that the legal entity is tax resident in.

| Country of tax residence | Tax ID number (TIN/TFN) or equivalent | Reason if TIN or equivalent not available | Additional notes |
|--------------------------|---------------------------------------|---|------------------|
| | | A B C | |
| | | A B C | |
| | | A B C | |

* Active NFEs include entities where less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held (such as bonds or stocks) produced passive income. For other types of Active NFEs, refer to your tax advisor or to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org

4. Foreign controlling persons (individuals)

A controlling person is an individual who directly or indirectly exercises control over the entity (for example, owners owning more than 25% of a company, trustees, beneficiaries of a Trust. Refer to your tax advisor, or seek professional advice if in doubt).

Does the entity have controlling persons who are tax residents of countries other than Australia?

Yes No (if no, go to section 5)

Full name

Date of birth

Place of birth

Residential address

Postcode

Jurisdiction of residence (1)

Jurisdiction of residence (2)

Jurisdiction of residence (3)

Taxpayer Identification Number (1)

Taxpayer Identification Number (2)

Taxpayer Identification Number (3)

Role

5. Declaration and signature

The account holder declares by signing this self-certification form that the above information is true, complete and accurate and undertakes to advise Bank Australia promptly and provide an updated self-certification form within 30 days of any change in circumstances occurring, which causes any of the information contained in this form to be inaccurate or incomplete.

Further, the account holder acknowledges that the information contained in this form and information regarding the account holder/controlling person(s) and any reportable account(s) may be provided to the ATO and exchanged with tax authorities of another country or countries in which the account holder may be tax resident pursuant to intergovernmental agreements regarding the exchange of financial account information, or otherwise where required by law.

I/We as representatives of the account holder, acknowledge that any personal information collected may be used for purposes relating to the verification of identity and to review and correct discrepancies in the information recorded and stored.

I/We authorise Bank Australia to use and duplicate the data provided in this form internally for any account where the entity is identified as a beneficiary.

I/We certify that I am the representative of the account holder (or am authorised to sign for the account holder/controlling person) of all the account(s) to which this form relates.

Entity representative

Surname

Given names

Signature of the entity representative

Date

Place

Second representative

Surname

Given names

Signature of the second representative

Date

Place

Bank Australia may contact you to clarify information relating to this form.

Please indicate the best contact number we can reach you on:

Visit us at your nearest branch
bankaust.com.au/support/branches

Mailing something?
50 Moore Street, Moe VIC 3825

Email us mail@bankaust.com.au
Talk to someone 132 888

Bank Australia Limited ABN 21 087 651 607 AFSL/Australian Credit Licence Number 238431